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AGENDA

TEXAS TRANSPORTATION COMMISSION
Audit Subcommittee, Quarterly Meeting
125 East 11th Street
Austin, Texas 78701-2483

WEDNESDAY
September 27, 2017

10:00 A.M. CONVENE MEETING

1. **Approval of Minutes of the May 24, 2017, quarterly meeting**
2. **Legislative Implementation Update**
 - a. Federal
 - b. State
3. **Financial Management Division Update**
 - a. Annual update on Spirit of Sarbanes-Oxley Act compliance
 - b. Review recommendations of independent auditor rotation
4. **External Quality Assessment - Peer Review Results**
5. **Fiscal Year 2018 Proposed Internal Audit and Compliance Work Plans**
Review and recommendation for Texas Transportation Commission approval
6. **Compliance Division Update**
 - a. External audit findings of the Texas Department of Transportation
 - b. Internal Compliance and Ethics Program update
 - c. Summary of investigations: Q4-FY17
7. **Internal Audit Division Update**
 - a. Management Action Plan follow-up status: Right of Way Division
 - b. Audit Reports
 - (1) Professional Engineering Procurement Services (PEPS) Consultant Procurement Process
 - (2) Aviation Flight Services
8. **Executive Session Pursuant to Government Code, Chapter 551**
Section 551.071 - Consultation with and advice from legal counsel regarding any item on this agenda, pending or contemplated litigation, or other legal matters

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PLEASE NOTE: Persons with special needs or disabilities who plan to attend this meeting and require auxiliary aids or services are requested to contact the department's Internal Audit Division at (512) 463-5671 at least three working days prior to the meeting so that appropriate arrangements can be made.