



**Statement of Qualifications (SOQ)
Screening Checklist**

Item	RFQ Requirements Accelerated, Streamlined or Comprehensive Process	Meets	Does Not Meet	N/A
1	Receipt. The SOQ was received on or prior to the deadline date and time stated in the RFQ. Refer to the SOQ Receipt Log. DATE: _____ Time: _____ AM/PM (circle one).			
2	Per SB 252, Texas agencies are forbidden by law from conducting business with companies on this list and Investing Entities listed in Govt. Code 2270.001 (7) have divestment requirements related to any investments in these companies. Check link below for Prime Provider Name. https://comptroller.texas.gov/purchasing/publications/divestment.php			
3	Debarment - Federal Funds Only. Verify that the prime provider is not on the list of FHWA excluded firms. http://www.SAM.gov			
4	Copies. The prime provider firm submitted the SOQ package in the PDF format on a USB Flash Drive and one duplicate original SOQ on paper. The USB Flash Drive contains one PDF file with the complete SOQ document and all applicable attachments, and also separate PDF files for each attachment. Note: Failure to submit either the USB Flash Drive or the paper version will result in the SOQ being considered non-responsive. If the electronic PDF files or the paper version is missing any of the attachments or parts of an attachment, check the other versions. If the missing information cannot be found in any of the PDF files or the paper copy, the SOQ will be considered non-responsive. If the SOQ is stapled, it is not subject for disqualification. If the names used for the electronic files do not follow the naming convention in the RFQ it is not a disqualification. Make a note in the comment field and discuss with the provider during debrief.			
5	Preclusion. If the RFQ includes a preclusion statement, verify that the prime provider and all subproviders are not precluded from competing for the advertised contract. Address any disclosure or other requirement related to the management of conflicts of interest, as applicable.			
6	Core Team Restrictions. If the RFQ includes a core team restriction statement, verify that the core team members are not submitted as core team members on other teams competing for this solicitation or others in this wave as applicable.			
7	General Attachments. The SOQ includes a completed Cover Page, Question & Response (Q&R) Template, and Protect Team Composition (PTC) form - Parts 1, 2, & 3. Additional Attachments may have been required such as the NLC template. If the electronic PDF files or the paper version is missing any of the attachments or parts of an attachment, check the other versions. If the missing information cannot be found in any of the PDF files or the paper copy, the SOQ will be considered non-responsive.			
8	Cover Page. All certification statements on the Cover Page are affirmatively checked "YES."			
9	Cover Page. The prime provider certified that the prime and all subproviders are registered with the applicable licensing board.			
10	Cover Page. The Cover Page includes prime provider's project manager's name and is signed and dated by the prime provider's project manager. Electronic signature is acceptable. If not signed, the SOQ will be considered non-responsive.			
11	Project Team composition form (PTC). Prime provider and Subprovider names should match CCIS if precertified or check the Texas Comptroller's website at the following link: https://mycpa.cpa.state.tx.us/coa/index.html At this time it is not necessary to check the comptroller's list for every prime and sub. ▪ If the submitted name matches CCIS, that's good enough. ▪ If the submitted name does not match CCIS, then check the comptroller's list. Note: At this time, if a prime's or subprovider's name does not exactly match the database, this does not warrant disqualification. Make a note for discussion if debriefed. For major discrepancies, contact PEPS-COE for guidance.			
12	PTC - HUB Goal. The form indicates the project team meets the assigned HUB goal. If not, the HUB Subcontracting Plan (HSP) and/or other supporting documentation, demonstrates a good faith effort of compliance or Self Performing Justification and Affirmation have been completed. Reference the HUB section of the RFQ for specific HUB criteria and exceptions.			
13	PTC. For a HUB goal, each subprovider listed to meet the assigned HUB goal has acceptable HUB Expire date or Off HUB date. A firm's HUB certification is acceptable if: The firm's HUB status is Active and its HUB Expire Date is current			
14	PTC. At this time, it is not necessary to check the NIGP codes, if the provider has the applicable precertification qualifications. This is not a disqualification item.			
15	HUB Subcontracting Plan (HSP). For a HUB goal, the SOQ includes a completed HSP, using the most current version. Using a different version is a reason for disqualification. Make a note in the comments and on the HSP review form. Use the HSP Review Form to review for applicability. (Each HSP must have a completed HSP Review Form 2576) http://txeform/txdotofrms/GetForm?formName=/2576.pdf&appId=/CIV&status=/reportError.jsp&configFile=WFServletConfig.xml For all non-compliant HSPs, obtain concurrence from CIV-HUB. (see page 6 from the HUB Form 2576 Guidance & Instructions. http://crossroads/org/des/cco/Doc/ProviderSelection/NOI/HUB_2576.pdf) The SOQ will be considered non-responsive if the HSP is not signed by the provider.			
16	PTC - DBE Goal. The form indicates the project team meets the assigned DBE goal. If not, for a DBE goal, demonstration of good faith effort documentation is attached.			
17	PTC - DBE Goal. For a DBE goal, each provider, prime or sub, listed to meet the assigned DBE goal is found in the TUCP Directory. Refer to the "Verification of DBE Certifications, Guidance and Instructions."			
18	PTC - DBE Goal. See "Verification of DBE Certifications, Guidance Instructions" to review the form. Subproviders: Each subprovider listed to meet the assigned DBE subcontracting goal must be DBE-certified in the NAICS Code applicable to the type of service being offered by that firm. A subprovider not DBE-certified in the NAICS Code applicable to the type of service being offered will not be counted toward the assigned DBE subcontracting goal. The SOQ will not be disqualified if the overall DBE goal has been met with qualified subproviders. Prime Providers: The DBE goal can be achieved through a DBE prime provider. DBE prime providers do not need to be screened for NAICS codes. If the prime provider is a DBE, and a DBE subprovider does not have an acceptable NAICS code, the SOQ is not subject to disqualification.			
19	PTC. Administrative Qualification - Summary Page. (Comprehensive, Streamlined, or Accelerated Process) Each provider, prime or sub, proposing to perform engineering or design-related services must be either 1) Administratively Qualified by selection notification, 2) accept the TxDOT developed indirect cost rate, or 3) exempt from Administrative Qualifications, such as non-engineering firms and firms performing services for the following work categories 18.2.1, subsurface utilities engineering; Group 6, bridge inspection; Group 12, materials inspection and testing; Group 14, geotechnical services; Group 15, surveying and mapping; and Group 16, architecture. For each Solicitation, attach a highlighted copy of the Administrative Qualification Status (per PEPS Administrative Qualifications Group list posted at: http://ftp.dot.state.tx.us/pub/txdot-info/des/cco/professional_services/qualified_firms.pdf) that shows the proposed team.			

**Statement of Qualifications (SOQ)
Screening Checklist**

Item	RFQ Requirements Accelerated, Streamlined or Comprehensive Process	Meets	Does Not Meet	N/A
20	<p>PTC Administrative Qualification - Summary Page. (Federal Process). Each provider, prime or sub, proposing to perform engineering or design-related services must be either 1) administratively qualified with an effective indirect cost rate as of the SOQ deadline date or 2) determined to be eligible by the TxDOT Administrative Qualifications Group to use the federal safe harbor rate as of the SOQ deadline date. (See http://www.txdot.gov/inside-txdot/division/design/professional-services/qualifications.html.) For each Solicitation, attach a highlighted copy of the Administrative Qualification Status (per PEPS Administrative Qualifications Group list posted at: http://ftp.dot.state.tx.us/pub/txdot-info/des/cco/professional_services/qualified_firms.pdf) that shows the proposed team.</p>			
21	<p>PTC. The proposed project manager is precertified in at least one standard work category and is employed by the prime firm. Refer to the "Firm Renewal Status and Verification of Precertification Guidance and Instructions." The prime firm that employs the project manager has an active status in the CCIS database (http://www.dot.state.tx.us/des/precert/precrt1.htm) as of the SOQ deadline date. If the firm is inactive, and the SOQ closing date was on or before March 31, and the screening is performed after March 31, then additional steps are required to verify renewal status. Follow the additional verification steps outlined in the guidance referenced above before eliminating the SOQ.</p>			
22	<p>PTC. The individual task leaders for the standard work categories are precertified in their respective categories, as proposed. Refer to the "Firm Renewal Status and Verification of Precertification Guidance and Instructions." The "firms" that employ the task leaders for the standard work categories have an active status in the CCIS database (http://www.dot.state.tx.us/des/precert/precrt1.htm) as of the SOQ deadline date. If the firm is inactive, and the SOQ closing date was on or before March 31, and the screening is performed after March 31, then additional steps are required to verify renewal status. Follow the additional verification steps outlined in the guidance referenced above before eliminating the SOQ.</p>			
23	<p>Subprovider Contact Information (For use with Federal Process and Federally Funded RFQs only). Verify that the form has been attached to the SOQ and that the form has been filled out and signed. Verify that the subprovider firms identified with "yes" under the column labeled "Subprovider is Part of the Team" are consistent with those identified as part of the Team on the PTC. (This form must include the subprovider name and address, and must be consistent with the team members shown on the PTC. Minor discrepancies such as missing signature, email address, phone number, and/or spelling errors, will not be subject for DQ.)</p>			
24	<p>NLC Qualifications Template.(if applicable) The task leaders for the NLCs are qualified to perform their respective categories, as proposed. Note: Each NLC listed in the NLC Qualifications Template must be screened by the same person(s). If, in screener's opinion, the firm fails to demonstrate that it meets the minimum qualifications to perform the NLC work, and the PcE concurs, the SOQ is to be considered non-responsive and eliminated from further consideration. NLC screening documentation is retained with each SOQ.</p>			
25	<p>Overall Consistency. The project team is consistent, as presented in the PTC, NLC Template, CCIS, and HSP (state funded- HUB) or DBE Subprovider Contact Information form (federal funded DBE). - A minor discrepancy such as an inconsistent spelling does not automatically disqualify the SOQ, provided the intent is clear. If the makeup of the project team is not obvious, however, the SOQ is non-responsive.</p>			
Screening Determination		Meets	Does Not Meet	
The SOQ MEETS / DOES NOT MEET the minimum qualifications as specified in the RFQ. If the SOQ meets the minimum qualifications, it shall be long-listed for evaluation. If the SOQ does not meet the minimum qualifications, it shall be considered non-responsive and disqualified from further consideration.				