

**SH 99 Grand Parkway Toll Road Project
Request for Qualifications
Q & A Matrix #2**

(December 9, 2011)

| <u>No.</u> | <u>RFQ Section/ Page No.</u> | <u>Question/Comment</u> | <u>Response</u> |
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| 1. | Part A, Section 4.2, P. A-21 | Volume 1, Page Count Do forms count toward the page count in Volume 1? | Yes. The page limitation for Volume 1 will be increased to 50 pages for concession Qs and 40 pages for design-build Qs. |
| 2. | Part A, Section 4.2, P. A-21 | Volume 1, Page Count Does the Executive Summary (limit 10 pages) count toward the 25/35 total page count for Volume 1? or is it in addition to the overall page limit? | The Executive Summary counts toward the limit on the number of pages for Volume 1. |
| 3. | Part B, Section B(b), P. B-6 | Volume 1, Section B Proposal Information/Project Experience, (b) Management Structure (RFQ Page B-6) Do the Organization Charts count toward the 5 page limit for the Management Structure [Volume 1, Section B (b)]? or are they in addition to the 5 page limit; allowing for 8 pages total in the Management Structure section: 5 pages of narrative and 3 pages of 11x17 Organization Charts? | The Organizational Charts are in addition to and not counted toward the 5-page limit for the Management Structure. |

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| 4. | Instructions to Form D P. B-7 | <p>Volume 1, Section B Proposal Information/Project Experience, (d) Form D - Reference Summary, Modification Form D does not reflect the information requested on page B-7 of the RFQ "This should include references for all project experience listed on Forms E-1, E-2 and, E-3 to the project name and contract number, owner's name, address, contact name and current email address, phone and fax numbers, dates of work performed (if applicable), brief project description, description of work and percentage actually performed by such member entity, and project outcome or current status."</p> <p>Will TxDOT allow for modification of Form D to answer all requested information?</p> <p>Page B-8 of the RFQ states: "For any entity identified in the QS for which experience and qualifications have not been provided pursuant to Part B, Volume 1, Section B(c), the Proposer may, but is not required to, briefly describe their qualifications and experience in performing the role that Proposer proposes to allocate to them. The description need not be lengthy or go into the level of detail sought in Part B, Volume 1, Section B(c)."</p> <p>Will TxDOT allow for modification of Form D to include additional team member experience?</p> | <p>Proposers should fill out Form D in its current form. Proposers may include on Form D reference projects for any entities identified in Volume 1, Section B (a). The instructions will be clarified as set forth below.</p> <p>Volume 1, Section B, Subsection (b) "Management Structure" on pages B-7 and B-8 will be revised in an addendum to the RFQ to read:</p> <p>The QS shall contain a completed Form D consolidating all of the references required. This should include references for all project experience listed on Forms E-1, E-2 and, E-3 to the project name and contract number, owner's name, address, contact name and current email address, phone and fax numbers, dates of work performed (if applicable), brief project description, description of work and percentage actually performed by such member entity, and project outcome or current status.</p> <p>...</p> <p>For any entity identified in the QS for which experience and qualifications have not been provided pursuant to Part B, Volume 1, Section B(c), the Proposer may, but is not required to, <u>include relevant project references on Form D</u> briefly describe their qualifications and experience in performing the role that Proposer proposes to allocate to them. The description need not be lengthy or go into the level of detail sought in Part B, Volume 1, Section B(c).</p> |
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| 5. | Part B, Section A, P. B-15 | <p>Volume 2</p> <p>Page B-15 of the RFQ states: "Package the information separately for each separate entity with a cover sheet identifying the name of the organization and its role in the Proposer's organization (i.e., equity member, lead design firm, subcontractor, etc.)."</p> <p>Are the lead design firm and subcontractors required to be included on Volume 2?</p> <p>or only the equity members?</p> | <p>Financial statements are required to be provided for the Proposer and Equity Members of the Proposer. The lead design firm and other team members are only required to provide financial statements if such firms are also Equity Members of the Proposer.</p> |
| 6. | Part A, Section 4.2, P. A-21 | <p>Volume 2, 4.2 Format (a) Number of copies Will TxDOT require 16 copies of Volume 2?</p> <p>Can Volume 2 be provided in a sealed envelope or does TxDOT require it to be in a 3-ring binder? Does TxDOT require Volume 2 to be included in the electronic media (CD)?</p> <p>We request TxDOT to consider accepting one original copy of Volume 2, submitted in sealed envelopes for each required member of the Proposer's organization, with no additional copies.</p> | <p>As set forth in Section 4.2(a), one original and 15 copies must be provided of all volumes of the QS. The read-only CD must include the entire QS, including Volume 2.</p> |

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| 7. | Part B, Volume 2 p. B-12 | <p>Financial statements must meet certain detailed requirements, including the following: “GAAP - Financial statements must be prepared in accordance with U.S. Generally Accepted Accounting Principles ("U.S. GAAP"). If financial statements are prepared in accordance with principles other than U.S. GAAP, a letter must be provided from a certified public accountant discussing the areas of the financial statements that would be affected by a conversion to U.S. GAAP.”</p> <p>In addition to GAAP, we ask if TxDOT also accept financial statements prepared in accordance with International Financial Reporting Standards (IFRS)?</p> | TxDOT will accept financial statements prepared in accordance with International Financial Reporting Standards; however, if any financial statements included in the QS are prepared in accordance with International Financial Reporting Standards, the QS must also include the required letter from a certified public accountant discussing the areas of the financial statements that would be affected by a conversion to U.S. GAAP. |
| 8. | Part A, Section 4.2 Format (c) P. A-21 | <p>Please clarify if the 35 pages limitation for Volume 1 includes, or in addition to, the following items:</p> <ul style="list-style-type: none"> ▪ Confidential Contents Index, per RFQ Part B, Volume 1, Section A (c) ▪ <u>Forms E-1, E-2, and E-3</u>, per RFQ Part B, Volume 1, Section B(c) ▪ <u>Form D</u>, per RFQ Part B, Volume 1, Section B(d) ▪ Legal Qualifications, per RFQ Part B, Volume 1, Section B(e) ▪ <u>Form F</u>, per RFQ Part B, Volume 1, Section D(a) | The page count applies to all components of Volume 1. The page limitation for Volume 1 will be increased to 50 pages for concession QSs and 40 pages for design-build QSs. |
| 9. | Part C, Form F p. C-20 | Form F Financial Reference Summary – this document displays with column headers black and unreadable. We request a new version with legible column headers. | Form F will be revised in Addendum #1 to the RFQ. |

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| 10. | Part A, Section 5.1 p. A-23 | <p>For your convenience, we have included below our proposed changes to Section 5.1 in redline form:</p> <p>“ . . . In order for project experience provided in the QS to be considered responsive, Forms E-1, E-2, E-3 shall list only projects for which the corporate entity (company, joint-venture, partnership or consortium) providing the equity investment, engineering, construction, operations and maintenance or capital maintenance experience is respectively the Equity Member, Lead Design Firm, Lead Contractors, Lead Operations & Maintenance Firm or Capital Maintenance Firm itself, or a controlled subsidiary or parent or sister company of such Equity Member, Lead Design Firm, Lead Contractor, Lead Operations & Maintenance Firm or Capital Maintenance Firm. Project experiences provided by a parent or sister company of the Lead Design Firm, Lead Contractor, Lead Operations & Maintenance Firm or Capital Maintenance Firm shall not be considered responsive to this QS.</p> <p>Key Personnel may be employed by the Equity Member, Lead Design Firm, Lead Contractor, Lead Operations & Maintenance Firm or Capital Maintenance Firm itself, or a controlled subsidiary or parent or sister company of such Equity Member, Lead Design Firm, Lead Contractor, Lead Operations & Maintenance Firm or Capital Maintenance Firm.”</p> | No change will be made. |