



WELCOME

TxDOT Semiannual Transit Operators Business Meeting

July 28, 2021

Meeting Begins at 9am Central Time





- Your line has been muted automatically upon joining the meeting.
- It is important to enter your name when joining the meeting – if you didn't do that, please exit the meeting and rejoin.
- If you wish to comment on an agenda item, use the meeting's chat feature to let Josh know – he will respond, read your comment aloud for response.
- If at any point you've been unmuted, please remember not to place this meeting on HOLD – we'll all hear your hold tone.



MEETING AGENDA

TxDOT Semiannual Transit Operators Business Meeting

Wednesday, July 28 | 9:00 A.M. - Noon

Virtual Meeting via Webex Events

9:00 A.M.

1. Welcome / Meeting Protocols

2. Division Director's Report

3. PTAC Update

4. TTA / ACT / SWTA Updates

5. FTA

6. Annual Texas Transit Statistics Report

10:15 A.M.

Break

7. Program Services Update

8. 2022 Texas Administrative Code (TAC) Revisions

9. Q/A

Noon

10. Adjourn



Director's Report

TxDOT Public Transportation Division

- June 2021 Commission Action
- USDOT RAISE Grant Application
- COVID/Emergency Relief Funding Update
- ICB Study
- SMART Buy Phase II
- Transit Technical Services Program
- Training Opportunities
- FY 2022 Activities



\$89,394,290 in Transit Program Funding

State Funds
\$34,991,067

- Large Urban \$3,500,000
- Small Urban \$10,059,374
- Rural \$21,431,693

Federal
FY 2021
Transit Programs
\$43,522,780

- 5310 \$7,305,402
- 5311 \$36,217,378

Federal
Emergency
Relief Funding
\$10,880,443

- 5311 \$3,316,675
- 5311(f) \$7,563,768



RAISE Project Summary

| Project Components | Total Cost | Other Federal Funds ¹ | State Funds | RAISE Grant |
|-------------------------------------|----------------------|----------------------------------|---------------------|---------------------|
| Fleet Replacement ² | \$ 15,392,758 | \$ 10,000,000 | \$ - | \$ 5,392,758 |
| Electric Vehicle Pilot ³ | \$ 6,050,000 | \$ - | \$ 1,210,000 | \$ 4,840,000 |
| Transit Facilities ⁴ | \$ 16,200,000 | \$ - | \$ 3,240,000 | \$ 12,960,000 |
| Project Total | \$ 37,642,758 | \$ 10,000,000 | \$ 4,450,000 | \$23,192,758 |

1 - \$10M in Other Federal Funding will be matched with Transportation Development Credit

2 - 194 Vehicles in 34 of 36 RTDs

3 - 25 EVs, 15 rapid chargers and staff training

4 - CVTD Maintenance, REAL Multimodal, TAPS Operations & Admin & BTM Maintenance



Survey Results

- Average weekly ridership improving
- Local revenues concern subsiding
- Strong support for vaccination efforts

Performance

- Rural & Urban ridership – still down, but trending up
- Cost – Rural hourly costs up 36% over 2019

Funding

- \$157 Million Received
- 47.7% of all relief funds expended



| Rural Cost Per Hour | | |
|----------------------------|----------|---------|
| FY 2019 | Q1/Q2/Q3 | \$52.28 |
| FY 2021 | Q1/Q2/Q3 | \$71.09 |
| % Change | | 36% |

| Urban Cost Per Hour | | |
|----------------------------|----------|---------|
| FY 2019 | Q1/Q2/Q3 | \$68.33 |
| FY 2021 | Q1/Q2/Q3 | \$78.12 |
| % Change | | 14% |



COVID-19 Emergency Relief Funding

| | | |
|--------------|-----------|--------------------|
| CARES | \$ | 143,200,553 |
| CRRSAA | \$ | 1,360,560 |
| ARP-5311 | \$ | 10,569,135 |
| ARP-5310 | \$ | 1,360,579 |
| Total | \$ | 156,490,827 |

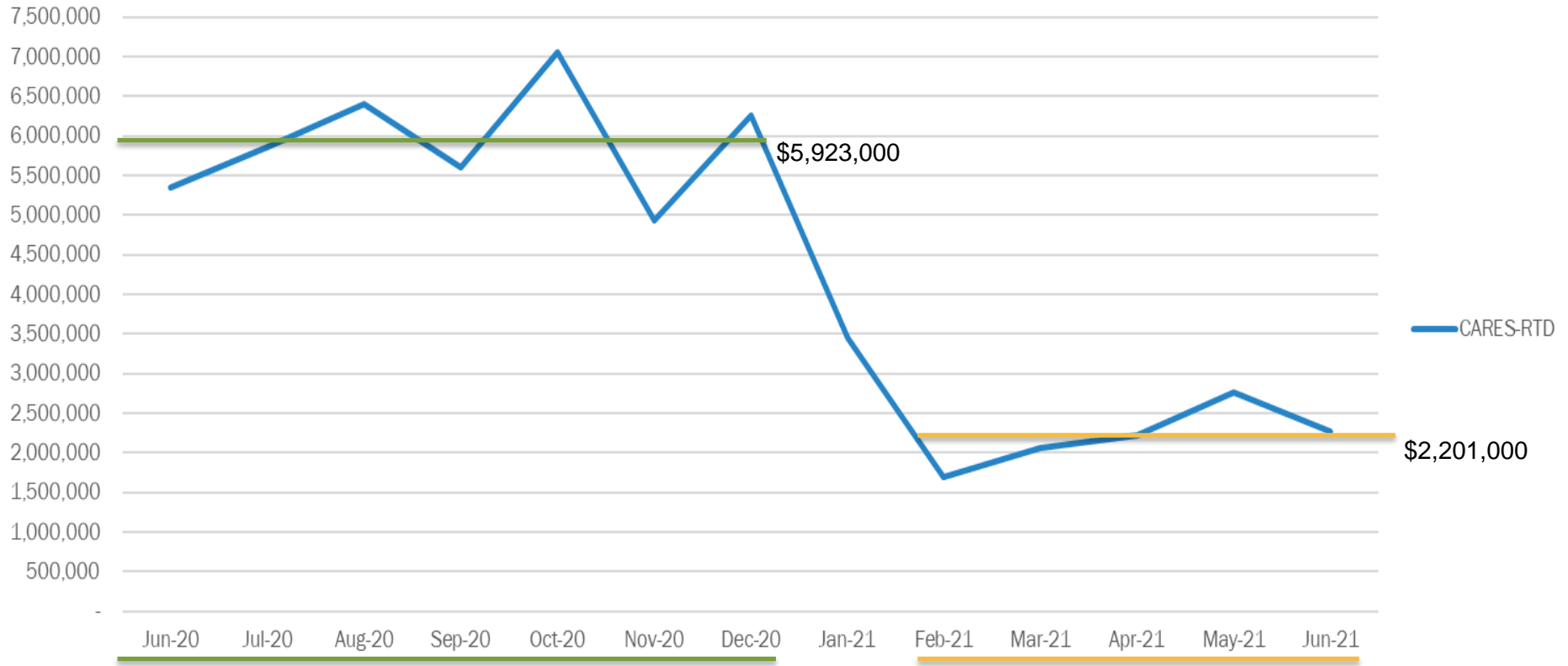
COVID-19 Emergency Relief Expenditures¹

| | | | |
|--------------|-----------|-------------------|--------------|
| RTD-5311 | \$ | 55,908,833 | |
| ICB-5311(f) | \$ | 18,759,332 | |
| 5310 | \$ | - | |
| Total | \$ | 74,668,165 | 47.7% |

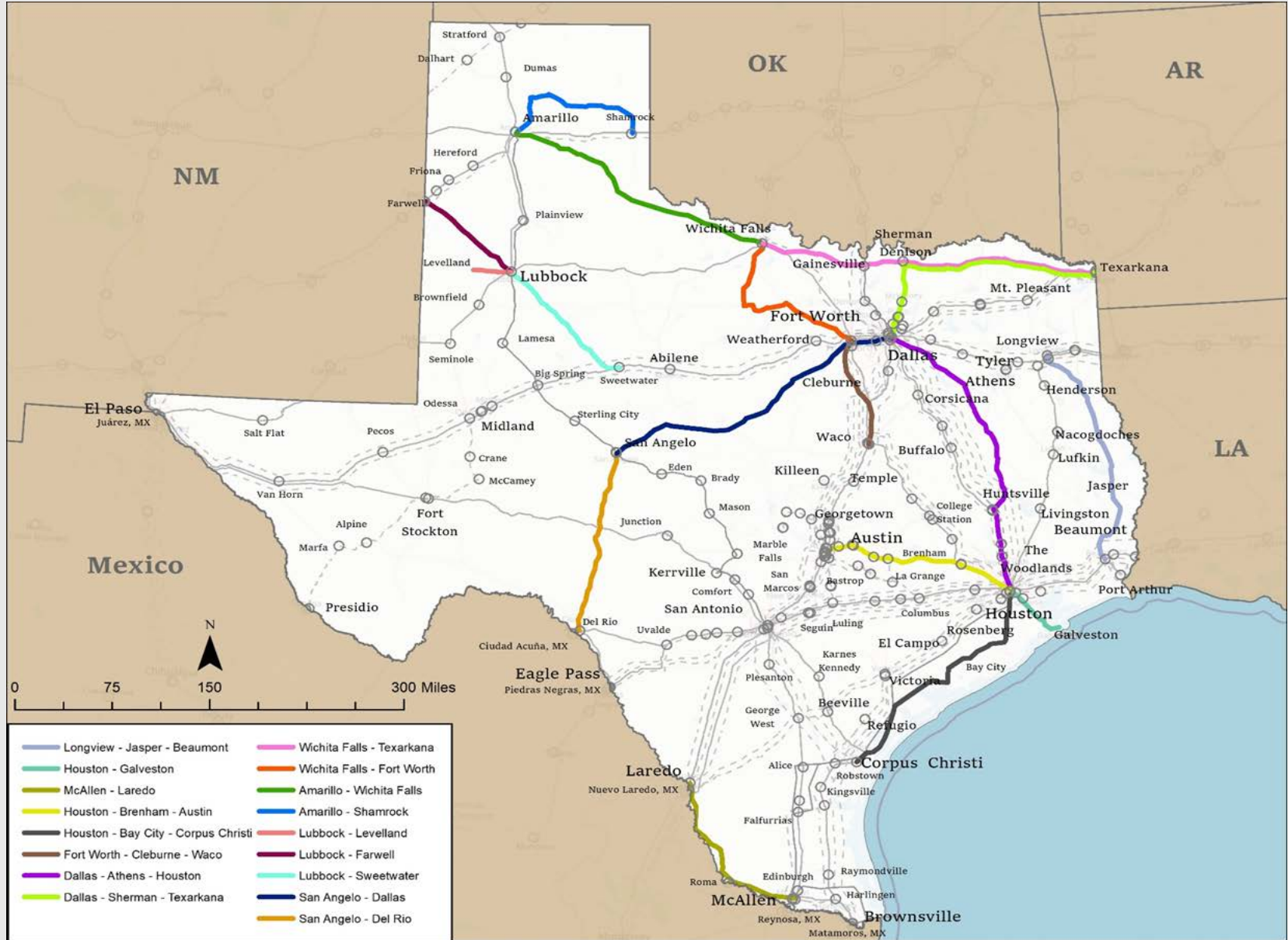
1 - June 2020 - June 2021: 47.7% of Total Emergency Relief Funding Expended



CARES Expenditures per Month



ICB Study: Potential Expansion Corridors





Phase 1 – Types 2, 3, 11

- August 1: Contract renewed, effective until July 31, 2022

Phase 2 – Types 1, 2 (low floor), 3 (low floor), 7

- July-September: Solicitation on hold with CPA
- November: Solicitation posting
- February 2022: Estimated award date

Additional Procurement Options

- TxDOT can share transit vehicle specifications for agencies to use their own procurements
- Oklahoma and Georgia coop contracts available



12 Projects have been Completed

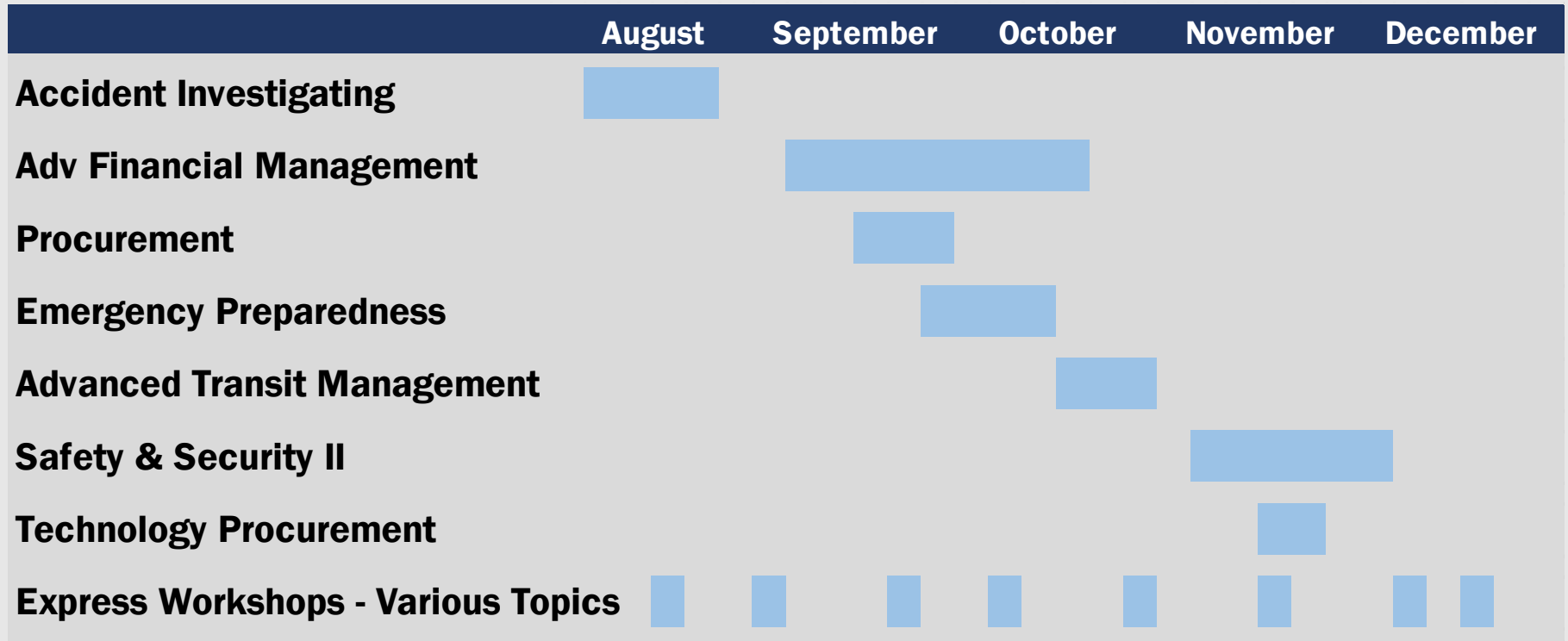
- 4 Operations & Service Analysis
- 7 Capital Project Development Feasibility Studies
- 1 Financial Management/Analysis and Operations Analysis

4 Ongoing Projects – Completion Dates

- 2 Projects: August 2021
- 2 Projects: October 2021

Project applications are accepted on a continuous basis. The division will review requests several times a year for coordination and scheduling purposes (generally in April, August and December). Applications would need to be **submitted by March, July and November** to be considered the following month.

| | |
|---------------------------|-------------------------|
| Total 2 yr. Budget | \$900,000 |
| Work Authorized | <u>\$624,095</u> |
| Available funding | \$275,905 |



- All training will be virtual until 2022
- Complete course listing and descriptions:
https://ftp.txdot.gov/pub/txdot-info/ptn/training_schedule.pdf



2021

September 9 PTAC Meeting

October Completion of ICB Study

November 9 PTAC Meeting

November 12 Coordinated Call Release

2022

February 22 Semiannual Meeting (TTA/SWTA Conference)

April/May Texas Transit Leadership Seminar



PTAC Update



ACT Update



SWTA Update

Association Update:

- Honoring Essential Public Transportation Workers
 - Commemorative coin and pin
- Membership Directory update
- RFP's and Job postings
- Leadership Training Sessions
- Involvement in the Association
 - Education and Events Committee
 - Rodeo Committee
 - Marketing Committee
 - Legislative Committee
- Save the date: Feb. 22-26,2022
Joint Conference, Expo and Rodeo





Federal Transit Administration

FTA Update



Annual Texas Transit Statistics Report



September 15th

SunSigns.Org



SunSigns.Org

If you are born on
September 15th, you
are highly creative
and gifted with
artistic qualities.



TxDOT

Larry Stokes
Karen Burkhard
Your PTC





- **Financials** – *June/July monthly & Aug ... best available Sep 15*
- **Performance** – *does it make sense?*
- **Fleet** – *anything missing?*



Fiscal Year Data Entry

| Year | Status |
|-------------------------------------------------------------------|--------|
| 2021 Date Created: 9/3/2020 Date Modified: - | Open |
| 2020 Date Created: 10/2/2019 Date Modified: - | |
| 2019 Date Created: 9/21/2018 Date Modified: - | |
| 2018 Date Created: 9/6/2017 Date Modified: - | |
| 2017 Date Created: 10/5/2016 Date Modified: - | |
| 2016 Date Created: 9/28/2015 Date Modified: - | |
| 2015 Date Created: 9/1/2014 Date Modified: - | Closed |
| 2014 Date Created: 9/1/2013 Date Modified: 7/9/2015 | Closed |
| 2013 Date Created: 9/1/2012 Date Modified: 3/10/2015 | Closed |
| 2012 Date Created: 9/1/2011 Date Modified: 5/23/2014 | Closed |
| 2011 Date Created: 9/1/2010 Date Modified: 5/24/2013 | Closed |

Have 3 minutes?

- Data Reporting Videos

Fresh Off the "Press"

- May 2021 – New User & Refresher Trainings

And Contact us

Documents

Search...

- [PTN-128 FY16 Reporting Manual.pdf](#)
- [Tool for Allocating Revenues and Expenses by Travel Mode.xls](#)

Training and Resources

- [Data Reporting Videos](#)
- [New User Training](#)
- [Refresher Training](#)
- [AGENCY STATE SUBSCRIPTION Calculator](#)

Stat Report

For Fiscal Year

| | |
|---------------------------------------|----------------------------|
| <input checked="" type="radio"/> 2020 | <input type="radio"/> 2013 |
| <input type="radio"/> 2019 | <input type="radio"/> 2012 |
| <input type="radio"/> 2018 | <input type="radio"/> 2011 |
| <input type="radio"/> 2017 | <input type="radio"/> 2010 |
| <input type="radio"/> 2016 | <input type="radio"/> 2009 |
| <input type="radio"/> 2015 | <input type="radio"/> 2008 |
| <input type="radio"/> 2014 | <input type="radio"/> 2007 |

[View TxDOT Stat Report](#)



Our meeting will resume at



Program Services Update

- Drug and Alcohol Program
- State Management Plan
- Signature Authority
- Audits and Reviews
- 2021/2022 Coordinated Call for Projects
- Federal and State Program Schedules
- Capital Re-programming – Resilience Projects

10 Subrecipients monitored by RLS

- **Success**

- No delays in D&A monitoring; adapted to a virtual environment
- Pre-review of documents before virtual onsite allowed for more time for technical assistance

- **Challenges**

- Collection site observations
- Two collection sites refused to participate
 - (Transit providers subsequently cancelled the two contracts)

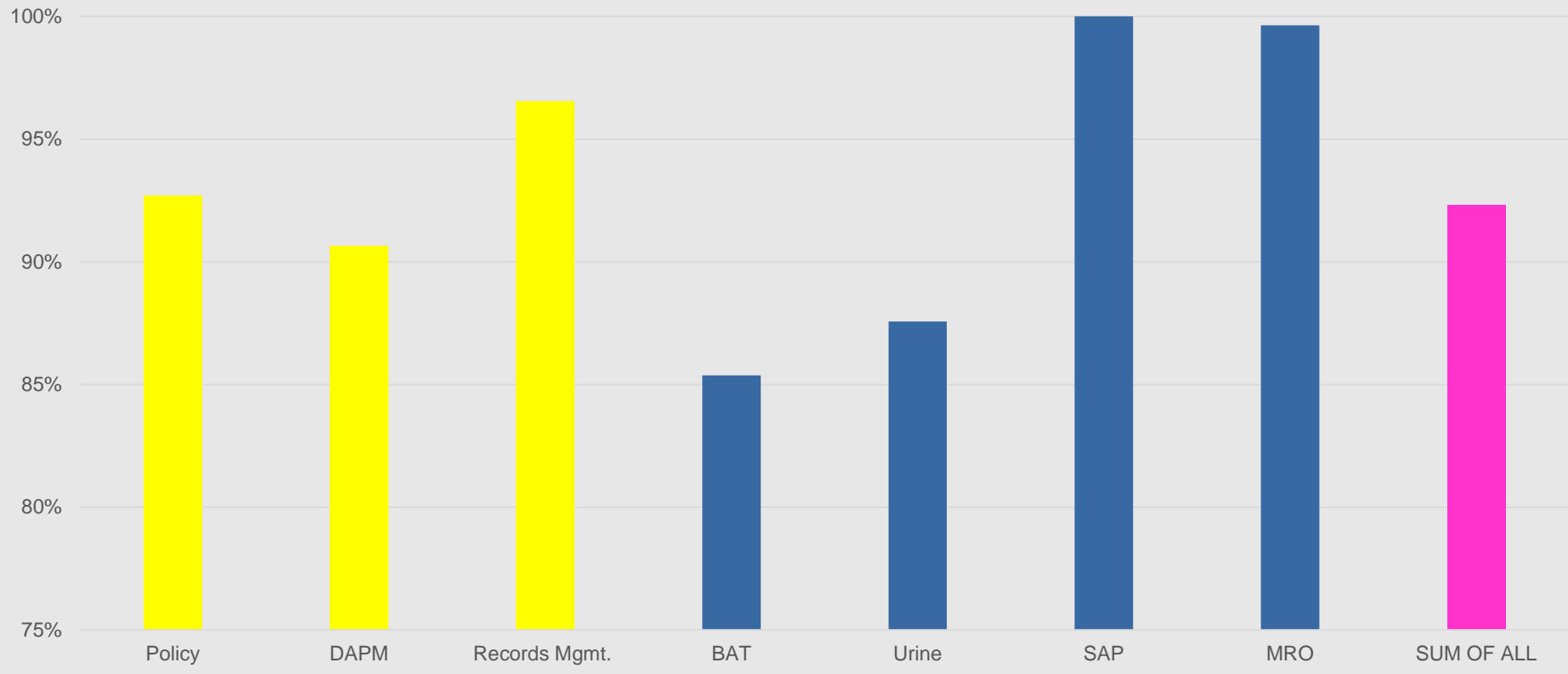
- **Best Practices**

- Review the contract you have with collectors; ensure there is language requiring participation in oversight monitoring (2 CFR §200.337 - Access to records)
- Review the regulations with them



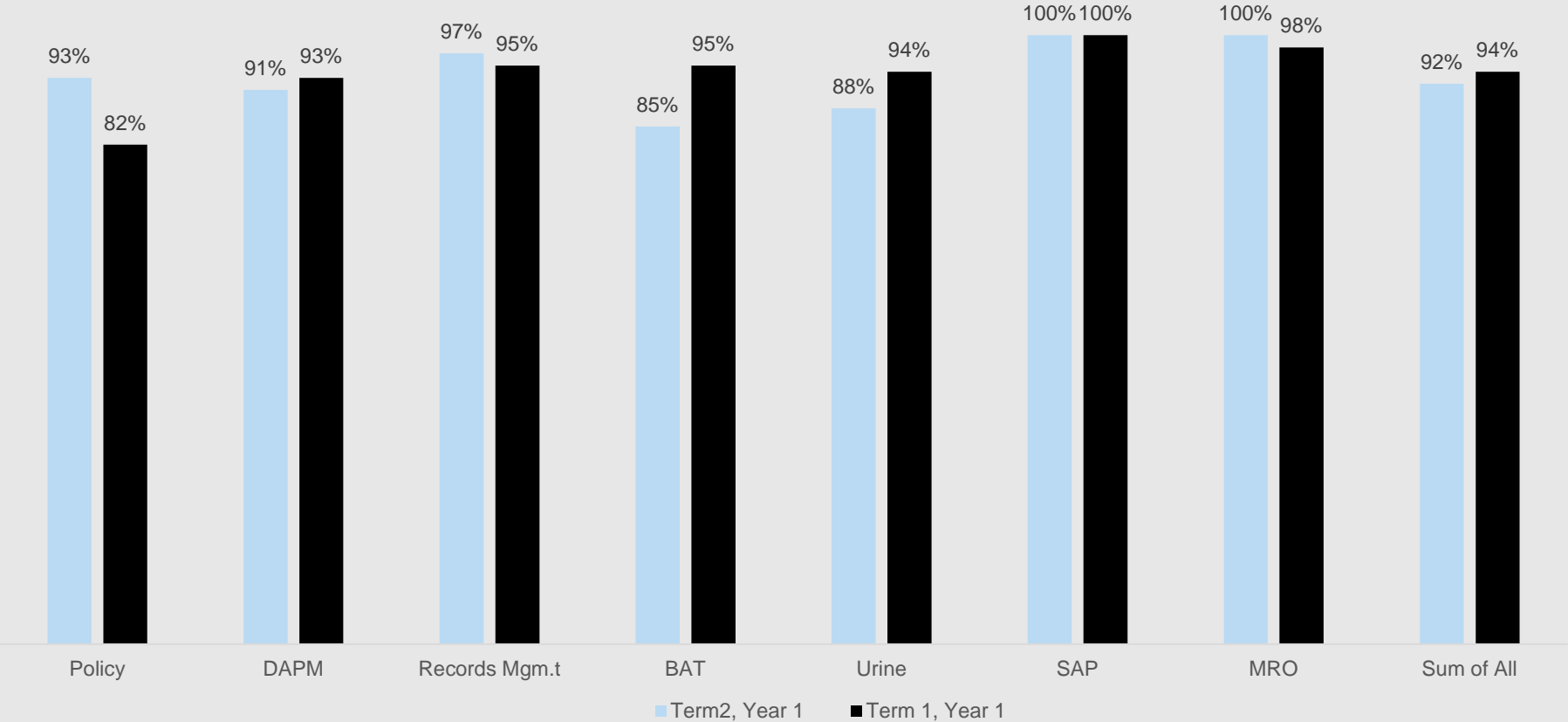


Sum of Correct Response 92%





D&A Program Monitoring Results Term 2, Year 1 Compared to Term 1, Year 1



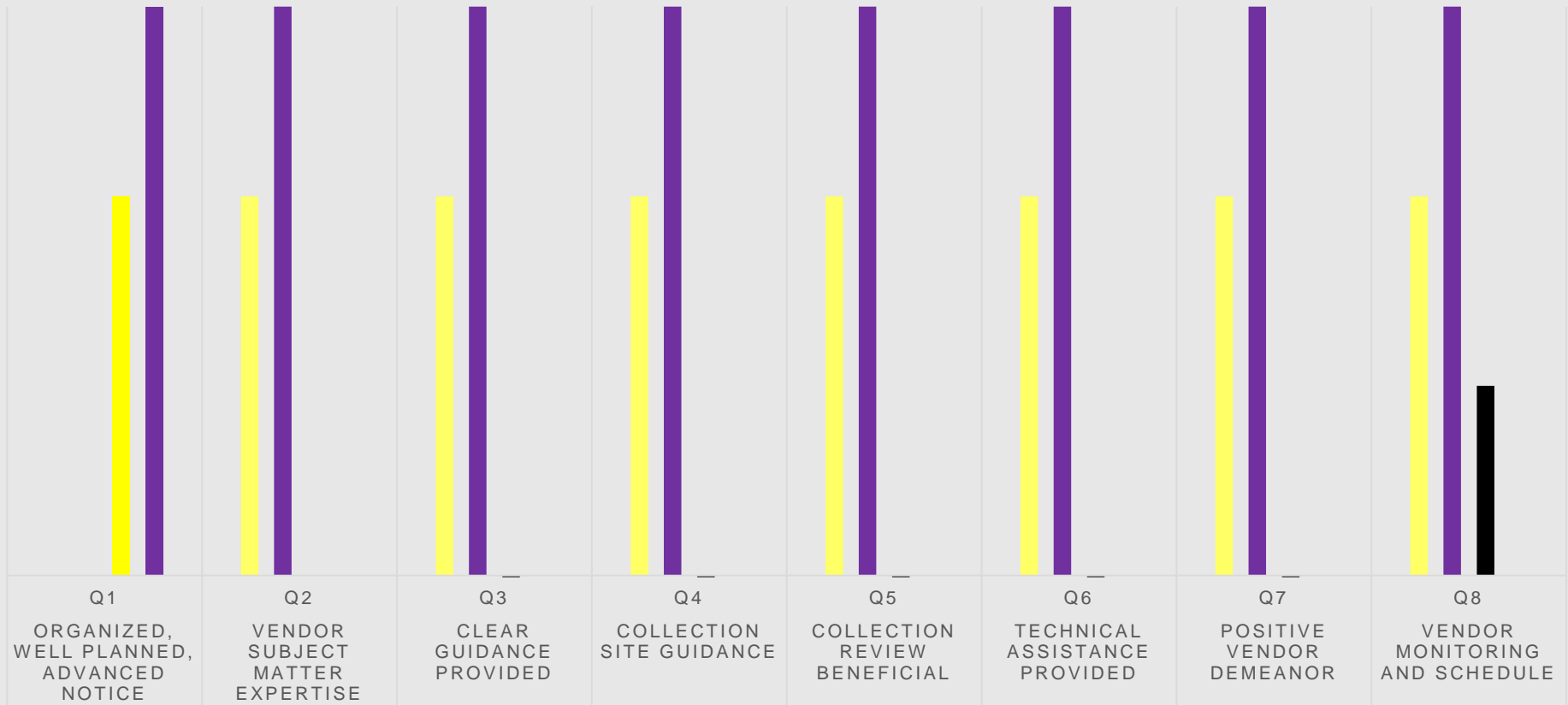


■ Vendor Survey Results

YELLOW = AGREE

PURPLE = STRONGLY AGREE

BLACK = DISAGREE





Term 2, Year 2 — Starts next month!

- Begins August 1, 2021
- Ends July 31, 2022

Thirteen Subrecipients:

- Aspermont SBDC, Inc.
- Central TX RTD
- Concho Valley TD
- City of Del Rio
- County of El Paso
- HOTCOG
- Hill Country TD
- McLennan County TD
- Panhandle Comm. Services, Inc.
- Rolling Plains MC
- South Plains CAA (SPARTAN)
- SWART
- WTO





Draft FY 2022 State Management Plan (SMP)

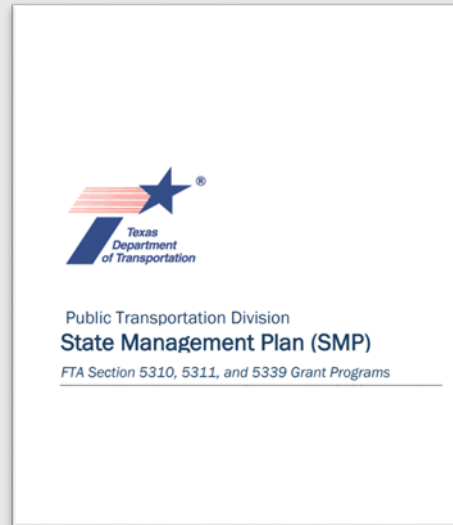
- SMP Purpose: Federal Requirement; informs FTA and other stakeholders how PTN administers grants
- FTA Section 5310, 5311, and 5339 Grant Programs and federal administrative requirements

FTA requires solicitation of public comment for significant SMP revisions

- SMP posted for Public Comment on PTN website: <https://www.txdot.gov/inside-txdot/forms-publications/publications/public-transportation.html>
- Email public comments to: PTN_ProgramMgmt@TxDOT.gov
- Comments due Friday, August 13th, 5pm CST

FY 2022 SMP

- Effective September 1, 2021 – August 31, 2022
- Final version will be posted on PTN website (same web address as above)





Program Updates Include:

- 5310:
 - FTA Emergency Relief Funding to address COVID-19 (CRRSAA & ARP)
 - Outreach in a virtual environment

- 5311:
 - ARP Act funds added to Special Section 5311 funding for COVID 19
 - Clarification on out of cycle requests for RTAP funds

- Administrative Requirements (Ch. 5)
 - COVID 19 updates:
 - FTA and OMB issued guidance on Admin Relief
 - FTA newly required COVID response reports (via NTD portal)
 - Indirect Cost: use of De Minimus Rate
 - New MGA effective FY 2022-2026
 - Procurement: References to updated federal regulations; recently updated PTN forms
 - Real Property / Constructions Updated language re: appraisals and real estate acquisition
 - Asset Mgmt.: references to federal regulations, info on vehicle disposition
 - Monitoring Program: updated TAC reference for possible actions for non-compliance



REMINDER for FY 2022

Provide info to your PTC on the status of your SA currently on file in eGrants

- No updates needed: email your PTC no updates needed
- Updates needed: Submit a new form, preferably by 8/31/21

New template available

<https://www.txdot.gov/inside-txdot/forms-publications/publications/public-transportation/signature-authority.html>



State Management Review – recently completed, draft report rec'd 7/19/21

- Scope: All programs except 5304, all activities except PTASP
- Time Period: May 2016 - Jan 2020
- Draft Result: 2 findings
 - Procurement – missing federal clause (Clean Air Act, Federal Water Pollution Control Act)
 - *New PTN 130 Vendor Consolidated Certification Form (as of June 2021) - on our website, forms page:*
 - <https://www.txdot.gov/inside-txdot/forms-publications/forms/public-transportation.html>
 - Funds not disbursed timely – incorrect ALI setup resulted in ECHO overdraft (Apr/May 2017)
Reconciliation complete, federal grant closed

State Auditor's Office Single Audit of TxDOT - ongoing

- Scope: 5339 Program awards/expenditures/drawdowns & procurements
- Time Period: FY 21



CARES Audit – TxDOT Compliance Division (Jan 2021)

- Scope: CARES RFRs, sampled 15 RFRs, app \$1.5m total
- Time Period: RFR processed as of time of review (through Dec 2020)
- Result: \$307 ineligible expense (incurred outside the period of eligibility)
 - *Refund received from agency*

5311/CARES Control Self-Assessment – TxDOT Audit Division (Mar 2021)

- Scope: 5311 RFRs (incl CARES) Sampled 10 agencies, 16 RFRs, app \$3.3million
- Time Period: RFRs processed in FY 21 through time of review (Sept 2020–Jan 2021)
- Result: 1 agency with RFR over \$250k did not receive 2nd approval signature
 - *Developed eGrants control to prompt for 2nd approval signature for any RFR over \$250k*

Coordinated Call Target Schedule



| Activity / Milestone | Target Date |
|--------------------------------------------------------------|------------------------------------|
| Submission and Training | |
| Notice of Opportunity Available | Friday, November 12, 2021 |
| Application Webinar | Tuesday, November 16, 2021 |
| Program Specific Webinars | November 17 & 18, 2021 |
| Deadline for Submitting Written Questions | Friday, January 7, 2022 |
| Deadline for Application Submission | Friday, February 4, 2022 |
| Application Review | |
| Applications Scored | Wednesday, March 9, 2022 |
| Application Negotiations Completed | Monday, April 11, 2022 |
| PGA Development | |
| Texas Transportation Commission Meeting | Thursday, June 30, 2022 |
| Project Grant Agreements Distributed for year 1 projects | Monday, August 1, 2022 |
| Award Grants | |
| Project Grant Agreements Executed for year 1 projects | Thursday, September 1, 2022 |

Federal and State Program Schedules



| Program | PGA | | | FY 2021 | | | | | | | | FY 2022 | | | | FY 2023 | | | | | | | | | | |
|--------------------------------------|------|-------------|-----------|-----------|-----|-----|-----|-----|-----|-----|-----|---------|-----|-----|-----|-----------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| | FY | Start | End | Q1 | | Q2 | | Q3 | | Q4 | | Q1 | | Q2 | | Q3 | | Q4 | | | | | | | | |
| | | | | Sep. 2020 | Oct | Nov | Dec | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep. 2021 | Oct | Nov | Dec | Jan | Feb | Mar | Apr | May | Jun | Jul |
| CARES R2 5311 | 2021 | Jan. 2021 | Dec. 2022 | | | | | | | | | | | | | | | | | | | | | | | |
| ARP 5311 | 2022 | Sept. 2021* | Dec. 2022 | | | | | | | | | | | | | | | | | | | | | | | |
| 5311 Formula | 2021 | Sept. 2020 | Dec. 2021 | | | | | | | | | | | | | | | | | | | | | | | |
| | 2022 | Sept. 2021* | Dec. 2022 | | | | | | | | | | | | | | | | | | | | | | | |
| | 2023 | Sept. 2022 | Dec. 2023 | | | | | | | | | | | | | | | | | | | | | | | → |
| State Funds (Rural and Urban) | 2021 | Sept. 2020 | Aug. 2022 | | | | | | | | | | | | | | | | | | | | | | | |
| | 2022 | Sept. 2021* | Aug. 2022 | | | | | | | | | | | | | | | | | | | | | | | |
| | 2023 | Sept. 2022 | Aug. 2023 | | | | | | | | | | | | | | | | | | | | | | | |
| 5339 Formula (Rural) | 2020 | Jun. 2020 | Jan. 2021 | ← | | | | | | | | | | | | | | | | | | | | | | |
| | 2023 | Sept. 2022 | Aug. 2023 | | | | | | | | | | | | | | | | | | | | | | | |

PGA active
 Submit requests to repurpose

***Note: MGA must be executed prior to any PGAs starting Sept. 2021**



1. PPE and Related Equipment
2. Improvements to Vehicle and Facility HVAC Systems
3. Improvements to Vehicle Interior Treatments
4. Improvements for Operator Barriers
5. Contactless Fare Collection Systems
6. Fleet Replacements
7. Disinfecting Equipment
8. Facility Barrier Upgrades
9. Alternate Power Generation
10. Technology Upgrades



Texas Administrative Code (TAC) Revisions



State

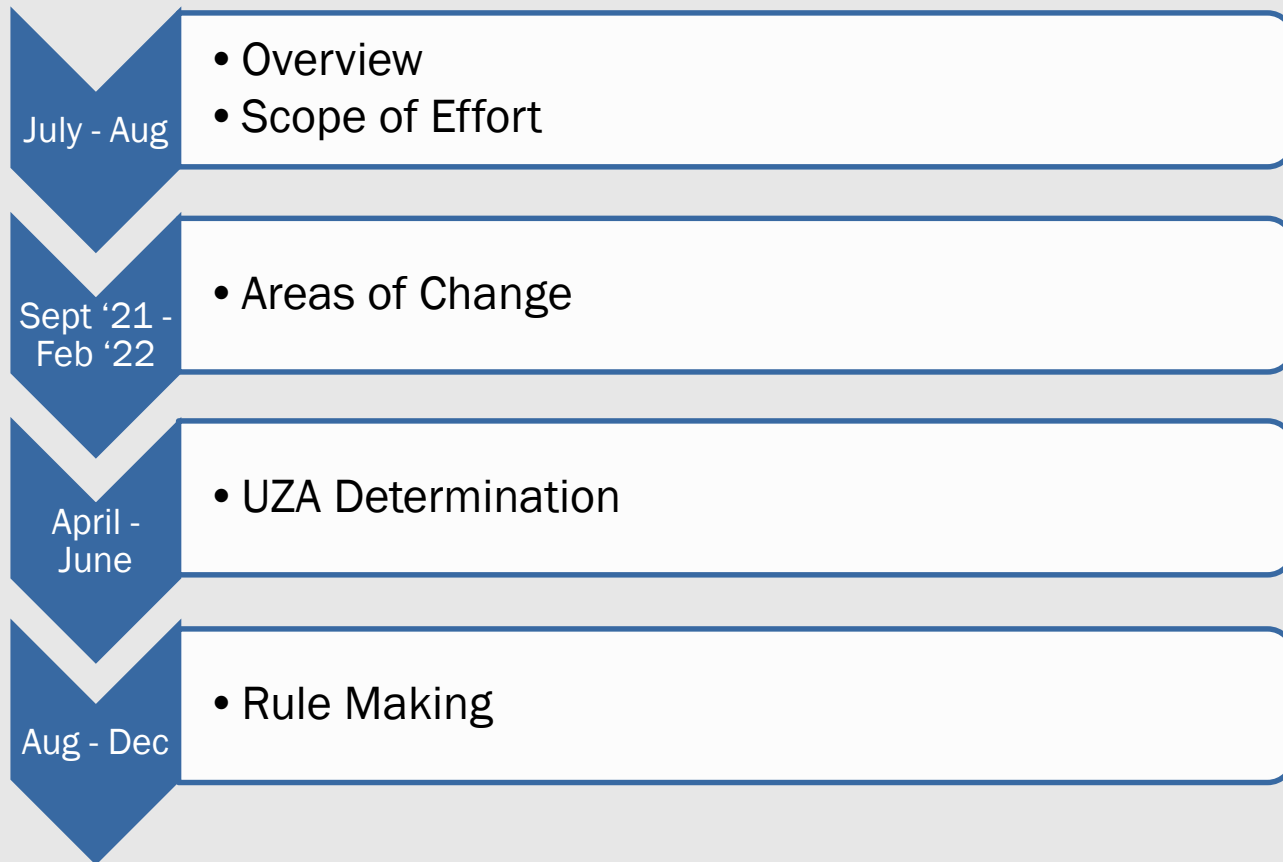
- 2020 Census UZA determinations – Spring 2022

Federal

- Program growth

Time

- Underlying structure in place since 2006





Desired Outcomes

- Fair and equitable
- Promote Sustainable Rural and Urban Transit District Programs
- Reward Performance
- Transparent

Additional Criterion to Determine Need

- Create a transit dependent index comprised of 3- 4 demographic factors – income, age, disability and ethnicity
- Create an economic indicator compiled by TxDOT using the economically disadvantaged counties

Performance

- Assessment of effectiveness of current approach
- Consideration of optional approaches
- Determination of appropriate measures
- Within the formula



Use of Funds

- Formula: Targeted vs General Purpose
- Discretionary/Strategic

Structural Consideration

- Use of percentages vs. specific amount
- What is appropriate split in formula vs discretionary
- Within formula

Program Management

- Emergency funding relief
- Unobligated/de-obligated balances
- Eligibility for funding
- Partial program apportionment





- Use objective, accurate, routinely collected data for all formula calculations.
- Account for diversity of operating environments and system characteristics, including performance, with criteria used. Determine an appropriate share of overall total available among them.



Reward
Performance

- Identify routinely collected, minimally biased and accurate efficiency and effectiveness measures.
- Reinforce continuous, overall, performance improvement with an appropriate share of the overall allocation available.



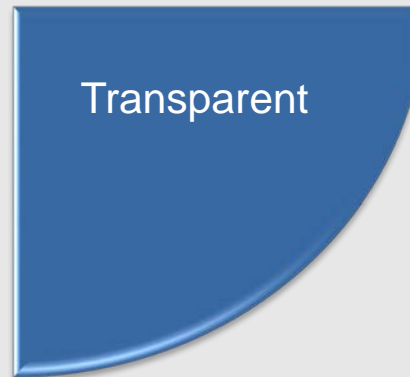
- Encourage local investment, coordination, and innovation
- Facilitate system integration.
- Provide reasonably stable and predictable allocations from one year to the next.
- Preserve flexibility to address unforeseen circumstances.



Promote
Sustainable
Rural and
Urban
Transit
District
Programs



- Easily understood.
- Strike an appropriate balance between simplicity and necessary complexity.
- Complete in anticipation of exceptions and/or unforeseen circumstance.





September 9

- Revisit desired outcomes
- Additional criteria to determine need
- Performance

November 9

- Structural consideration
- Use of funds
- Program management

January 27
(tentative)

- Review and development of desired recommendations



Q&A



Thanks for Joining Us!